

10/01/2020

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

PLAINTIFFS

Commodity Futures Trading Commission

DEFENDANTS

Stephen Ehrlich

ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)
Rachel Hayes, Alan Simpson
2600 Grand Blvd. Suite 210, Kansas City MO 64108, (816) 960-7700

ATTORNEYS (IF KNOWN)

CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

7 U.S.C. §§ 9(1), 6o, 6k(2), and 6m(1); and 17 C.F.R. §§ 180.1(a)(1)–(3), 4.21 -- Commodities Fraud, Pool Fraud, and Failure to Register

Has this action, case, or proceeding, or one essentially the same been previously filed in SDNY at any time? No Yes Judge Previously Assigned

If yes, was this case Vol. Invol. Dismissed. No Yes If yes, give date _____ & Case No. _____

IS THIS AN INTERNATIONAL ARBITRATION CASE?

No Yes

(PLACE AN [x] IN ONE BOX ONLY)

NATURE OF SUIT

TORTS

ACTIONS UNDER STATUTES

CONTRACT	PERSONAL INJURY	PERSONAL INJURY	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
[] 110 INSURANCE	[] 310 AIRPLANE	[] 367 HEALTHCARE/	[] 625 DRUG RELATED	[] 422 APPEAL	[] 375 FALSE CLAIMS
[] 120 MARINE	[] 315 AIRPLANE PRODUCT	PHARMACEUTICAL PERSONAL	SEIZURE OF PROPERTY	28 USC 158	[] 376 QUI TAM
[] 130 MILLER ACT	LIABILITY	INJURY/PRODUCT LIABILITY	[] 365 PERSONAL INJURY	[] 423 WITHDRAWAL	[] 400 STATE
[] 140 NEGOTIABLE	[] 320 ASSAULT, LIBEL &	PRODUCT LIABILITY	21 USC 881	28 USC 157	REAPPORTIONMENT
INSTRUMENT	SLANDER	[] 368 ASBESTOS PERSONAL	[] 690 OTHER		
[] 150 RECOVERY OF	[] 330 FEDERAL	INJURY PRODUCT			[] 410 ANTITRUST
OVERPAYMENT &	EMPLOYERS'	LIABILITY			[] 430 BANKS & BANKING
ENFORCEMENT	LIABILITY	[] 368 PROPERTY RIGHTS			[] 450 COMMERCE
OF JUDGMENT	[] 340 MARINE	[] 820 COPYRIGHTS	[] 880 DEFEND TRADE SECRETS ACT		[] 460 DEPORTATION
[] 151 MEDICARE ACT	[] 345 MARINE PRODUCT	[] 830 PATENT			[] 470 RACKETEER INFLU-
[] 152 RECOVERY OF	LIABILITY	[] 370 OTHER FRAUD			ENCED & CORRUPT
DEFALTED	[] 350 MOTOR VEHICLE	[] 371 TRUTH IN LENDING	[] 835 PATENT-ABBREVIATED NEW DRUG APPLICATION		ORGANIZATION ACT
STUDENT LOANS	[] 355 MOTOR VEHICLE		[] 840 TRADEMARK		(RICO)
(EXCL VETERANS)	PRODUCT LIABILITY				[] 480 CONSUMER CREDIT
[] 153 RECOVERY OF	[] 360 OTHER PERSONAL				[] 485 TELEPHONE CONSUMER
OVERPAYMENT	INJURY	[] 380 OTHER PERSONAL			PROTECTION ACT
OF VETERAN'S	[] 362 PERSONAL INJURY -	PROPERTY DAMAGE			
BENEFITS	MED MALPRACTICE	[] 385 PROPERTY DAMAGE			
[] 160 STOCKHOLDERS		PRODUCT LIABILITY			
SUITS					[] 490 CABLE/SATELLITE TV
[] 190 OTHER					[] 850 SECURITIES/
CONTRACT					COMMODITIES/
[] 195 CONTRACT					EXCHANGE
PRODUCT					[] 890 OTHER STATUTORY
LIABILITY					ACTIONS
[] 196 FRANCHISE					[] 891 AGRICULTURAL ACTS
					[] 893 ENVIRONMENTAL
					MATTERS
					[] 895 FREEDOM OF
					INFORMATION ACT
					[] 896 ARBITRATION
					[] 899 ADMINISTRATIVE
					PROCEDURE ACT/REVIEW OR
					APPEAL OF AGENCY DECISION
					[] 950 CONSTITUTIONALITY OF
					STATE STATUTES
REAL PROPERTY					
[] 210 LAND	[] 441 VOTING				
CONDEMNATION	[] 442 EMPLOYMENT				
	[] 443 HOUSING/				
	ACCOMMODATIONS				
[] 220 FORECLOSURE	[] 445 AMERICANS WITH	[] 550 CIVIL RIGHTS	[] 462 NATURALIZATION		
[] 230 RENT LEASE &	DISABILITIES -	[] 555 PRISON CONDITION	APPLICATION		
EJECTMENT	EMPLOYMENT	[] 560 CIVIL DETAINEE	[] 465 OTHER IMMIGRATION		
[] 240 TORTS TO LAND	[] 446 AMERICANS WITH	CONDITIONS OF CONFINEMENT	ACTIONS		
[] 245 TORT PRODUCT	DISABILITIES - OTHER				
LIABILITY					
[] 290 ALL OTHER	[] 448 EDUCATION				
REAL PROPERTY					

Check if demanded in complaint:

CHECK IF THIS IS A CLASS ACTION
UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.
AS DEFINED BY LOCAL RULE FOR DIVISION OF BUSINESS 13?

IF SO, STATE:

DEMAND \$_____ OTHER _____ JUDGE _____ DOCKET NUMBER _____

Check YES only if demanded in complaint
JURY DEMAND: YES NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form IH-32).

(PLACE AN X IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from (Specify District)	<input type="checkbox"/> 6 Multidistrict Litigation (Transferred)	<input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge
			<input type="checkbox"/> 8 Multidistrict Litigation (Direct File)			
<input type="checkbox"/> a. all parties represented						
<input type="checkbox"/> b. At least one party is pro se.						

(PLACE AN X IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 U.S. PLAINTIFF	<input type="checkbox"/> 2 U.S. DEFENDANT	<input type="checkbox"/> 3 FEDERAL QUESTION	<input type="checkbox"/> 4 DIVERSITY (U.S. NOT A PARTY)
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IF DIVERSITY, INDICATE CITIZENSHIP BELOW.**CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)**

(Place an [X] in one box for Plaintiff and one box for Defendant)

CITIZEN OF THIS STATE	PTF [] 1	DEF [] 1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	PTF DEF [] 3 [] 3	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	PTF [] 5	DEF [] 5
CITIZEN OF ANOTHER STATE	[] 2	[] 2	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	[] 4 [] 4	FOREIGN NATION	[] 6	[] 6

PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)

2600 Grand Boulevard, Suite 210
 Kansas City, MO 64108
 Jackson County, MO

DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)

20 Rutledge Street, Apartment 706,
 Nashville, TN 37210
 Davidson County, TN

DEFENDANT(S) ADDRESS UNKNOWN

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

COURTHOUSE ASSIGNMENT

I hereby certify that this case should be assigned to the courthouse indicated below pursuant to Local Rule for Division of Business 18, 20 or 21.

Check one: THIS ACTION SHOULD BE ASSIGNED TO: WHITE PLAINS MANHATTAN

/s/ Alan T. Simpson

DATE 10/12/2023

SIGNATURE OF ATTORNEY OF RECORD

RECEIPT # N/A

ADMITTED TO PRACTICE IN THIS DISTRICT

 NO[] YES (DATE ADMITTED Mo. _____ Yr. _____)
 Attorney Bar Code #

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge _____ is so Designated.

Ruby J. Krajick, Clerk of Court by _____ Deputy Clerk, DATED _____.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)